

Please type a plus sign (+) inside this box +

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of	1995, no persons are required to	U.S. Patent and Tradem	ved for use through 10/31/2002. OMB 0651-0031 nark Office: U.S. DEPARTMENT OF COMMERCE ion unless it displays a valid OMB control number.
		Application Number	10/729,096
TRANS	SMITTAL	Filing Date	Dec 05, 2003
FC	ORM .	First Named Inventor	Samuelsson, et al;
(to be used for all corres	spondence after initial filing)	Group Art Unit	
		Examiner Name	
Total Number of Pages	in This Submission	Attorney Docket Number	MS1-1696US
	ENC	LOSURES (check	all that apply)
Fee Transmittal Form Fee Attached	Assign (for an	ment Papers Application) a(s) Sheets	After Allowance Communication to Group Appeal Communication to Board
Amendment / Reply		ing-related Papers	of Appeals and Interferences Appeal Communication to Group
. After Final	Petitio		(Appeal Notice, Brief, Reply Brief) Proprietary Information
Affidavits/declaration	n(s) Provis	n to Convert to a lonal Application of Attorney, Revocation	Status Letter
Extension of Time Request	i I I Chanc	e of Correspondence	Other Enclosure(s) (please identify below):
Express Abandonment Rec	quest 🗀	nal Disclaimer est for Refund	Return Receipt Post Card; (2) references; PTO Form 1449
✔ Information Disclosure Stat	ement	umber of CD(s)	
Certified Copy of Priority Document(s)	Remarks	· · · · · · · · · · · · · · · · · · ·	
Response to Missing Parts, Incompléte Application	'	22801	
Response to Missing under 37 CFR 1.52 c			
	SIGNATURE OF APPL	ICANT, ATTORNEY, OR	AGENT
Firm			
or Individual name	n R. Sponseller, Reg. No. 3	39,384	
Signature	le Sponse	ll	
Date	1-26-04		
	CERTIFIC	ATE OF MAILING	
I hereby certify that this corresponder an envelope addressed to: Commiss	nce is being deposited with the ioner for Patents, P.O. Box 14	United States Postal Service 50, Alexandria, VA 22313-145	with sufficient postage as first class mail in 50 on this date:
Typed or printed name	aurie S. Morgan		
Signature	aure Marge	Date Date	= 1/26/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	10/729,096
Filing Date	
Inventorship	Samuelsson.
Applicant	
Group Art Unit	••••
Examiner	•••••
Attorney's Docket No	MS1-1696US
Title: Method and System for Processing Events	

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 1-26-04

By: Steven R. Sponseller

Reg. No. 39,384

-9	,	_	_
Please type	a plus sign (+) inside	this box 🔫 🔒	۰



JAN 2 9 2004

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Co	omplete if Known	
Application Number	10/729,096	
Filing Date	Dec 05, 2003	
First Named Inventor	Samuelsson, et al.	
Group Art Unit		
Examiner Name		
Attorney Docket Number	MS1-1696US	

	-		U.S. PATENT DOCL	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Document Kind Code Number (if known	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,513,721	Salmre et al.	02/04/2003	
		4,104,721	Markstein et al.	08/01/1978	
					
			,	+	
				-	****·

				FORE	IGN PATENT DOCUMENT	rs		
Examiner	Cite	Foreign Patent Document Name of Patentee or		Name of Patentee or Date of 1 delication of Where Delicate			Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	L _e
					······			
					·			
		ļ <u>.</u>						$oxed{oxed}$
<u>.</u>								Ш
		igwdown						igsquare
							-	
ł l		1 1				1		l i

Examiner	Date	
Signature	Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a	pļus sign	(+) inside	this I	box	\rightarrow	+



Substitute for form 1449B/PTO

2

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of

2

Co	mplete if Known	
Application Number	10/729,096	
Filing Date	Dec 05, 2003	
First Named Inventor	Samuelsson, et al.	
Group Art Unit		
Examiner Name		
Attorney Docket Number	MS1-1696US	

	,	NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		CALDWELL; "The Importance of Event Correlation for Effective Security Management"; Information Systems Control Journal; Vol. 6; 2002; pp. 36-38.	
		KRUGEL, et al; "Decentralized Event Correlation for Intrusion Detection"; ICICS 2001; pp. 114-131; Vol. 2288; Dec. 6-7, 2001.	
Examine	.	Date	

Signature Considered

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.